

### 10/575916 IAP15 Rec'd PCT/PTO 14 APR 2006

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Date of Deposit: April 14, 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln of: FELHABER et al.

Appln. No.: Not Yet Assigned

Filed:

Herewith

For:

PATTERNING METHOD AND FIELD EFFECT TRANSISTORS

Attorney Docket No: 10808/322

(In1341WOUS)

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

Mail Stop - PCT P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following references:

DOCUMENT NO.	DATE OF PUBLICATION	NAME/COUNTRY
5,899,746	05/04/1999	Mukai
5,918,132	06/29/1999	Qian et al.
6,403,456 B1	06/11/2002	Plat et al.
6,562,665 B1	05/13/2003	Yu
6,605,541 B1	08/12/2003	Yu
US 2002/0011612 A1	01/31/2002	Hieda
US 2003/0085424 A1	05/08/2003	Bryant et al.
US 2003/0141546 A1	07/31/2003	Maegawa
US 2003/0141569 A1	07/31/2003	Fried et al.
DE 39 15 650 A1	11/15/1990	Germany
DE 195 36 523 A1	04/03/1997	Germany

Applicants are enclosing Form PTO-1449 (one sheet), along with copies of cited references A8-A12. Pursuant to 37 C.F.R. §§ 1.56 and 1.98(a)(3), and MPEP § 609A(3), English language abstracts for cited references (A10-A11) are enclosed to provide concise explanations of these references, which are not in English.

Attorney Docket No. 10808/322 (In1341W0US)

Application No.: Not Yet Assigned

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Also enclosed are copies of the International Search Report dated March 23, 2005 for corresponding PCT Application No. PCT/EP2004/052333 A1, an Office Action dated July 22, 2004 from the corresponding German Application, and the International Preliminary Examination Report dated February 2, 2006 for corresponding PCT Application No. PCT/EP2004/052333 A1.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Jason M. Wejner

Registration No. 55,722 Attorney for Applicants

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200

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FORM PTO-1449	SERIAL NO.	CASEND /5/591
	Not Yet Assigned	10808/322
		(In1341WOUS)
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Herewith	Not Yet Assigned
(use several sheets if necessary)	APPLICANTS: Fehlhaber et al.	

#### REFERENCE DESIGNATION

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,899,746	05/04/1999	Mukai		
	A2	5,918,132	06/29/1999	Qian et al.		
	A3	6,403,456 B1	06/11/2002	Plat et al.		
	A4	6,562,665 B1	05/13/2003	Yu		
	A5	6,605,541 B1	08/12/2003	Yu		
	A6	US 2002/0011612 A1	01/31/2002	Hieda		
	A7	US 2003/0085424 A1	05/08/2003	Bryant et al.		
	A8	US 2003/0141546 A1	07/31/2003	Maegawa		
	A9	US 2003/0141569 A1	07/31/2003	Fried et al.		

#### **FOREIGN PATENT DOCUMENTS**

	EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (If known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
ſ		A10	DE 39 15 650 A1	11/15/1990	Germany		Abstract
I		A11	DE 195 36 523 A1	04/03/1997	Germany		Abstract

<u> </u>	
EVAMINED	DATE CONCIDEDED
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.